PAGE 4117 * RCVD AT 61412004 11:45:13 AM [Eastern Daylight Time] * SVR: USPTO-EFXRF-3125 * DNIS: 2732310 * CSID: 16174262275 * DURATION (mm-ss): 04-02 Transmit Conf. Report

P. 1

Dec 1 2003 11:03

Telephone Number	Mode	Start	Time	Page	Result	Note
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SAMUELS, GAUTHIER & STEVENS LLP

ATTORNEYS AT LAW PATENTS TRADEMARKS AND COPYRIGHTS

225 FRANKLIN STREET, SUITE 3300 BOSTON, MASSACHUSETTS 02110

TELEPHONE (617) 426-9180 WRITER'S EXT.: 112

FAX (617) 426-2275 Email: MConnors@sgslaw.com

FACSIMILE TRANSMISSION

TO:

USPTO

FROM: Matthew E. Connors

Art Unit 2872

ATTN:

Examiner Cherry

FAX NO:

703-746-4722

DATE:

December 1, 2003

RE:

Ser. No. 09/903.099

NO. OF PAGES TO FOLLOW:

REMARKS:

As requested, attached is a copy of the Amendment filed on May 8, 2003 with the stamped receipt postcard. Please let us know if you need anything else.

> Matthew E. Connors Reg. No. 33,298

The documents transmitted by this thesimile are intended for the use of the individual or the entity to which it is addressed and may contain information that is privileged, confidential, and exempt from disclosure under applicable low. If the reader of the message is not the intended recipient, or the employee or agent responsible for delivering this document to the intended recipient, you are hereby notified that any dissemination, distribution, or copying of this communication is strictly prohibited. If you have received this communication in error, please notify us immediately by telephone and return the original facsimile to us at the above address via the Postal Service. Thank you.

PAGE 3117 * RCVD AT 6114/2004 11:45:13 AM [Eastern Daylight Time] * SVR:USPTO-EFXRF-3/25 * DNIS:2732310 * CSID:16174262275 * DURATION (mm-ss):04-02

Mailed on May 9, 2003

MIT.8607L

Enclosed please find the following in re: U.S. Patent Appln. No. 09/903,099 Waipote et al. Filed: 07/11/2001

- 1) Amendment
- 2) Amendment Transmittal

DATE DUE: 05/30/2003

MEC/ccp

Jun 14 2004



This Paper Was Received By PTO On:

11:22

PAGE 6/17 * RCVD AT 6/14/2004 11:45:13 AM [Eastern Daylight Time] * SVR:USPTO-EFXRF-3/25 * DNIS:2732310 * CSID:16174262275 * DURATION (mm-ss):04-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	Walpole et al.		
Serial No.:	09/903,099	Group No:	2874
Filed:	July 11, 2001	Examiner:	Unknown
For:	SLAB-COUPLED OPTICAL	WAVEGUIDE LASER A	ND AMPLIFIER
Box Non-Fee Amend Assistant Comission Washington, D.C. 20	er of Patents		
	AMENDMENT I	RANSMITTAL	
1. Тта	nsmitted herewith is an amendment fo	or this application.	
1. 112	instituted indownia to all announced to		
	STA	rus	
2. Ap	plicant is	•	
<u>X</u>	a small entity - verified statemen	nt	
	attached.		
	X already filed.		
_	other than a small entity.		
·	CERTIFICATE OF MA	AILING (37 CFR 1.8(a))	
der cla-	ereby certify that this paper (along with any rossited with the United State Postal Service on the small in an envelope addressed to the: Commis 231.	he date shown below with sufficien	of boarage are mor
D a	te: <u>05 08 2003</u>	(Type or print name of person (Signature of person mailing	Parell

PAGE 7117 * RCVD AT 61412004 11:45:13 AM [Eastern Daylight Time] * SVR:USPTO-EFXRF-3125 * DNIS:2732310 * CSID:16174262275 * DURATION (mm-ss):04-02 **EXTENSION OF TERM**

NOTE: "Extension of Time in Patent Cases (Supplement Amendments)-- If a timely and complete response has been filed after a Non-Final Office Action, an extension of time is not required to permit filing and/or entry of an additional amendment after expiration of the shortened standard period.

If a timely response has been filed after a Final Office Action, an extension of time is required to permit filing and/or entry of a Notice of Appeal or filing and/or entry of an additional amendment after expiration of the shortened statutory period unless the timely-filed response placed the application in condition for allowance. Of course, if a Notice of Appeal has been filed within the shortened statutory period, the period has ceased to run." Notice of December 10, 1985 (1061 O.G. 34-35).

NOTE: See 37 CFR 1.645 for extensions of time in interference proceedings and 37 CFR 1.550(c) for extensions of time in reexamination proceedings.

3. The proceedings herein are for a patent application and the provisions of 37 CFR 1.136 apply

(complete (a) or (b) as applicable)

(a) ___ Applicant petitions for an extension of time under 37 CFR 1.136 (fees: 37 CFR 1.17(a)-(d) for the total number of months checked below:

Exter	nsion nths)	Fee for other than small entity	Fee for small entity
_	one month	\$ 110.00	\$ 55.00
_	two months	\$ 410.00	\$195.00
_	three months	\$ 890.00	\$445.00
	four months	\$1,390.00	\$695.00
— .	fifth month	\$1,890.00	\$945.00

Fee \$

If an additional extension of time is required please consider this a petition therefor. (check and complete the next item, if applicable)

 An extension for	months has already been secured and the fee paid therefor of					
 \$ is deducted fro	om the total fee due for the total months of extension now	requested.				

Extension fee due with this request \$ 410.00

OR

Applicant believes that no extension of term is required. However, this conditional petition is being (b) <u>X</u> made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

Page 2 of 4

Jun 14 2004 11:54

PAGE 8/17 * RCVD AT 6/14/2004 11:45:13 AM [Eastern Daylight Time] * SVR:USPTO-EFXRF-3/25 * DNIS:2732310 * CSID:16174262275 * DURATION (mm-ss):04-02

FEE FOR CLAIMS

4. The fee for claims (37 CFR 1.16(b)-(d)) has been calculated as shown below:

	(Col. 1) (0		(Col. 2)	(Col. 3)		SMALL ENTITY			OTHER THAN A SMALL ENTITY		
	AFTE	INING	HIGHES PREVIO PAID FC	USLY	PRESENT ADDIT. EXTRA	RATE	FEE	OR	ADDIT. RATÉ	FEE	:
TOTAL	54	MINUS		5 5	N/	x 11=\$		x22=	\$		
INDEP.	5	MINUS		6	=	x 41= \$		x82=	\$	A. WALLAND	
		PRESENTA' TPLE DBP. C		•		+135=Ş		+\$270=	\$		
						TOTAL ADDIT. FEE \$		or fee	TOTAL ADDIT. \$ 0.00		

If the entry in Col. 1 is less than entry in Col. 2, write "0" in Col. 3. If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, enter "20". If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3". The "Highest No. Previously Paid For" (Total or Indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

WARNING:

"After final rejection or action (I 1.113) amendments may be made cancelling claims or complying with any requirement of form which has been made." 37 CFR II 1.116(a) (emphasis added).

(complete (c) or (d) as applicable)

(c) X No additional fee for claims is required.

OR

(d) ___ Total additional fee for claims required \$_____

FEE PAYMENT

5. .	_	Attached is a check in the sum of \$				
		Charge Account No.	the sum of \$			
		A duplicate of this transmittel is	attoched			

Page 3 of 4

PAGE 9177 * RCVD AT 6/14/2004 11:45:13 AM [Eastern Daylight Time] * SVR:USPTO-EFXRF-3/25 * DNIS:2732310 * CSID:16/174262275 * DURATION (mm-ss):04-02

FEE DEFICIENCY

NOTE: If there is a fee deficiency and there is no authorization to charge an account, additional fees are necessary to cover the additional time consumed in making up the original deficiency. If the maximum, six-month period has expired before the deficiency is noted and corrected, the application is held abandoned. In those instances where authorization to charge is included, processing delays are encountered in returning the papers to the PTO Finance Branch in order to apply these charges prior to action on the cases. Authorization to charge the deposit account for any fee deficiency should be checked. See the Notice of April 7, 1986, (1065 O.G. 31-33).

6. X If any additional extension and/or fee is required, charge Account No. 19-0079

AND/OR

X If any additional fee for claims is required, charge Account No. 19-0079

Reg. No.: 33,298

Tel. No.: (617) 426-9180

Extension 112

Matthew E. Connors

Type or print name of attorney

URE OF ATTORNEY

Samuels, Gauthier & Stevens

225 Franklin Street, Suite 3300

P.O. Address

Boston, Massachusetts 02110

Page 4 of 4

PAGE 1/17 * RCVD AT 6/14/2004 11:45:13 AM [Eastern Daylight Time] * SVR:USPTO-EFXRF-3/25 * DNIS:2732310 * CSID:16/14/262275 * DURATION (mm-ss):04-02

GAUTHIER & CONNORS LLP

ATTORNEYS AT LAW PATENTS TRADEMARKS AND COPYRIGHTS

225 FRANKLIN STREET, SUITE 3300 **BOSTON, MASSACHUSETTS 02110**

TELEPHONE (617) 426-9180 WRITER'S EXT.: 112

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FAX (617) 426-2275 Email: MConnors@gc-law.com

FACSIMILE TRANSMISSION

TO:

USPTO Unit 2872

FROM: Matthew E. Connors

ATTN:

Examiner Cherry

FAX NO:

571-273-2310

DATE:

June 14, 2004

RE:

Ser. No. 10/903,099

NO. OF PAGES TO FOLLOW: //

REMARKS:

As we discussed attached please find a true copy of the Response filed on November 14, 2003 and the date stamped return postcard, as well as our fax information when we previously sent in the same response on December 1. Please let us know if you need anything else.

> Matthew E. Connors Reg. No. 33,298

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